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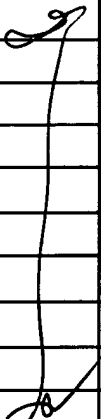
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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>			
				Application Number		Unassigned	
				Filing Date		May 29, 2001	
				First Named Inventor		Donald R. Katz et al.	
				Group Art Unit		Unassigned	
Examiner Name		Unassigned #1					
Attorney Docket Number		2541P001CD					
Sheet	1	of	11				



U.S. PATENT DOCUMENTS					
Examiner Initials *		U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			
		3,763,330	Fulwiler	10/2/1973	6/28/1971
		4,266,243	Shutterly	5/5/1981	4/25/1979
		4,393,277	Besen et al.	7/12/1983	3/18/1981
		4,455,651	Baran	6/19/1984	10/20/1980
		4,473,824	Claytor	9/25/1984	7/22/1983
		4,499,568	Gremillet	2/12/1985	12/13/1982
		4,682,368	Takahashi	7/21/1987	3/26/1985
		4,698,776	Shibata	10/6/1987	5/23/1984
		4,725,977	Izumi et al.	2/16/1988	2/28/1986
		4,772,873	Duncan	9/20/1988	8/30/1985

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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
[Signature]	EPO	0 128 093 A1		Texas Instruments Incorporated	12/12/1984	Yes ✓
[Signature]	EPO	0 302 710 A2		International Business Machines Corporation	2/8/1989	Yes ✓

OTHER DOCUMENTS			
Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
		CHANG, YEE-HSIANG, ET AL.; An Open-Systems Approach to Video on Demand; IEEE	
		Communications Magazine; May 1994; pgs. 68-79.	
		DELODDERE, DANIEL, ET AL.; Interactive Video On Demand; IEEE Communications Magazine	
		May 1994; pgs. 82-88.	

Examiner Signature	<i>D. D. W. H.</i>	Date Considered	3/18/04
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		Number			
		4,788,543	Rubin	11/29/1988	11/5/1986
		4,788,675	Jones et al.	11/29/1988	10/10/1986
		4,851,931	Parker et al.	7/25/1989	2/24/1989
		4,891,838	Faber	1/2/1990	11/4/1985
		4,941,040	Pocock et al.	7/10/1990	2/10/1988
		4,949,187	Cohen	8/14/1990	12/16/1988
		4,989,179	Simko	1/29/1991	10/12/1989
		5,042,070	Linna et al.	8/20/1991	10/1/1990
		5,055,947	Satoh	10/8/1991	6/21/1989
		5,065,258	Warren et al.	11/12/1991	9/23/1988

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	Office or Country	Number	Date			
	FR	2 651 352-A2		URBA 2000 Association declaree	3/1/1991	No
				de droit francais		
	PCT	WO 87/04309		Motorola, Inc.	7/16/1987	

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Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
		DESMEDT, YVO, ET AL.; Mutli-Receiver/Multi-Sender Network Security: Efficient Authenticated	
		Multicast/Feedback; Infocom'92; IEEE 1992; pgs. 2045-2054.	
		FARN, KWO-JEAN, ET AL.; An Authenticate Processing For The Information System In Industrial	
		Technology Research Institute; Carnahan Conference on Security Technology, 1991; IEEE 1991	

Examiner Signature	<i>D. O'Neil</i>	Date Considered	<i>3/18/04</i>
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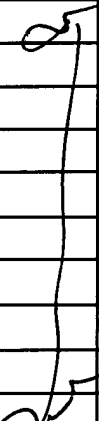
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	5,099,422		Foresman et al.	3/24/1992	3/17/1989
	5,132,992		Yurt et al.	7/21/1992	1/7/1991
	5,159,182		Eisele	10/27/1992	12/12/1989
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	5,210,611		Yee et al.	5/11/1993	8/12/1991
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	PCT	WO 96/35987		Macrovision Corporation	11/14/1996	

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	pgs. 59-67.	
[Signature]	JONES, RICHARD J.; Baseband and Passband Transport Systems for Interactive Video Services;	
	IEEE Communications Magazine; May 1994; pgs. 90-101.	

Examiner Signature	D. DINN	Date Considered	3/18/04
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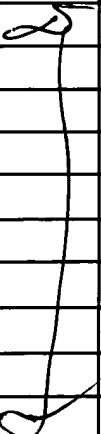
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		Number			
		5,253,275	Yurt et al.	10/12/1993	4/2/1992
		5,311,423	P. Deborah Clark	5/10/1994	1/7/1991
		5,359,698	Goldberg et al.	10/25/1994	12/20/1991
		5,371,532	Gelman et al.	12/6/1994	5/15/1992
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		5,406,626	Ryan	4/11/1995	3/15/1993
		5,420,690	Koishi	5/30/1995	7/20/1994
		5,440,336	Buhro et al.	8/8/1995	7/23/1993
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OTHER DOCUMENTS			
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	KANG, CHANG GOO; New Digital Multisignature Scheme in Electronic Contract Systems;		
	Proceedings 1995 IEEE International Symposium on Information Theory; Whistler Conference ✓		
	Centre, Whistler, British Columbia, Canada, 17-22 September 1995; pg. 486.		
	KAPLAN, MARC A.; IBM Cryptolopes™, SuperDistribution and Digital Rights Management;		

Examiner Signature	<i>D. DINN</i>	Date Considered	<i>3/18/04</i>
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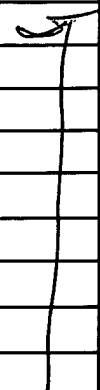
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				First Named Inventor		Donald R. Katz et al.	
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Sheet	5	of	11	Attorney Docket Number		2541P001CD	

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number			
	5,491,820	Belove et al.	2/13/1996	11/10/1994
	5,493,677	Balogh et al.	2/20/1996	6/8/1994
	5,504,815	Ryan et al.	4/2/1996	3/8/1995
	5,511,000	Kaloi et al.	4/23/1996	11/18/1993
	5,524,051	Ryan	6/4/1996	4/6/1994
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	5,541,638	Story	7/30/1996	6/28/1994
	5,550,863	Yurt et al.	8/27/1996	10/8/1993
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OTHER DOCUMENTS		
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	http://www.research.ibm.com/people/k/kaplan/cryptolope-docs/crypap.html ; 3/27/00; 9 pgs.	
	NESSETT, D.M.; Layering Central Authentication On Existing Distributed System Terminal	
	Services; Security and Privacy, 1989 IEEE Symposium; IEEE 1989; pgs. 290-299.	

Examiner Signature	<i>D. D. AM</i>	Date Considered	<i>3/18/02</i>
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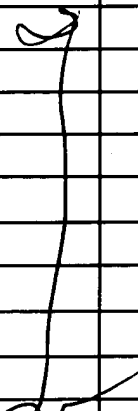


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Examiner Name	Unassigned				
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		Number			
		5,579,471	Barber et al.	11/26/1996	3/23/1994
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		5,592,511	Schoen et al.	1/7/1997	1/29/1996
		5,615,061	Singh	3/25/1997	9/29/1994
		5,629,980	Stefik et al.	5/13/1997	11/23/1994
		5,630,121	Braden-Harder et al.	5/13/1997	2/2/1993
		5,634,012	Stefik et al.	5/27/1997	11/23/1994
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[Handwritten mark]	RAMANATHAN, SRINIVAS, ET AL.; Architectures for Personalized Multimedia; IEEE		
	Multimedia 1994; pgs. 37-46.		
[Handwritten mark]	Aural Hygiene; AutoWeek; November 14; pg. 10.		

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		Number			
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		5,732,219	Blumer et al.	3/24/1998	3/17/1995
		5,752,244	Rose et al.	5/12/1998	7/15/1996
		5,841,979	Schulhof et al.	11/24/1998	5/7/1996

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	Be Listening Up; PC Today Features News Article on Information Highway Media Corporation;		
	PC Today; January 1995; 1 pg.		
	Information Highway Media Corp. Retains Von Gehr International As Investment Banker;		
	Information Highway Media Corporation; October 13, 1994; 1 page.		

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		Des. 276,626	Lockwood	12/4/1984	9/14/1981
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		4,159,417	Rubincam	6/26/1979	10/28/1977
		4,490,810	Hon	12/25/1984	2/16/1982

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	Listen-Up Audio System & Audio-On-Demand to Open New Infobahn On-ramp; Information	
	Highway Media Corporaton; IHM-94001.F.9/19/94; 1 pg.	
	Read Me A Story; Popular Mechanics; February 1995; 1 pg.	

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2	4,545,023	Mizzi	10/1/1985	9/13/1984
	4,575,621	Dreifus	3/11/1986	3/7/1984
	4,591,974	Dornbush et al.	5/27/1986	1/31/1984
	4,601,011	Grynberg	7/15/1986	3/18/1985
	4,779,080	Coughlin et al.	10/18/1988	3/6/1986
	4,855,725	Fernandez	8/8/1989	12/28/1988
	4,899,292	Montagna et al.	2/6/1990	3/2/1988
	4,916,441	Gombrich	4/10/1990	9/19/1988
	5,065,345	Knowles et al.	11/12/1991	8/21/1990
	5,121,492	Saville, III et al.	6/9/1992	3/2/1987

FOREIGN PATENT DOCUMENTS							
Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
2	The Captive Audience Network; Popular Science; February 1995; 1 pg.	
2	Listen Up audio system and Audio On Demand subscription service write-up; Electronic Entertainment; February; 1 pg.	

Examiner Signature	D, DINN	Date Considered	3/11/02
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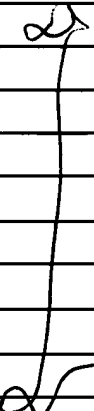


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				Application Number		Unassigned	
				Filing Date		May 29, 2001	
				First Named Inventor		Donald R. Katz et al.	
				Group Art Unit		Unassigned	
				Examiner Name		Unassigned	
Sheet	10	of	11	Attorney Docket Number		2541P001CD	

U.S. PATENT DOCUMENTS					
Examiner Initials *		U.S.Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			
		5,091,939	Cole et al.	2/25/1992	6/22/1990
		5,115,508	Hatta	5/19/1992	3/29/1988
		5,133,076	Hawkins et al.	7/21/1992	6/12/1989
		5,203,001	Yanagiuchi et al.	4/13/1993	9/5/1989
		5,221,838	Gutman et al.	6/22/1993	10/20/1992
		5,226,080	Cole et al.	7/6/1993	9/24/1991
		5,319,582	Ma	6/7/1994	10/1/1991
		5,333,116	Hawkins et al.	7/26/1994	3/3/1993
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	5,734,823	Saigh et al.	3/31/1998	7/25/1996	

FOREIGN PATENT DOCUMENTS							
Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	Supplementary European Search Report for EP 98 95 3222, mailed December 14, 2000, 1 pg.	
	International Search Report for PCT/US97/16184; mailed January 30, 1998; 1 pg.	

Examiner Signature		Date Considered	3/18/01
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				Filing Date	May 29, 2001
				First Named Inventor	Donald R. Katz et al.
				Group Art Unit	Unassigned
Examiner Name	Unassigned				
Attorney Docket Number	2541P001CD				
Sheet	11	of	11		

U.S. PATENT DOCUMENTS					
Examiner Initials *		U.S.Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			
		5,734,891	Saigh	3/31/1998	6/12/1996
		5,673,316	Auerbach et al.	9/30/1997	3/29/1996
		5,966,440	Hair	10/12/1999	6/6/1995

FOREIGN PATENT DOCUMENTS						
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OTHER DOCUMENTS		
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				Application Number	09/867,505	
				Filing Date	5/29/01	
				First Named Inventor	Donald R. Katz et al.	
				Group Art Unit	Unassigned	
Examiner Name	Unassigned	H2				
Attorney Docket Number	2541P001CD2					
Sheet	1	of	5			

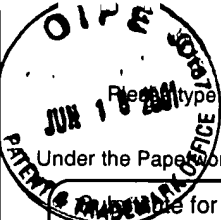
U.S. PATENT DOCUMENTS					
Examiner Initials *		U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			
J		5,636,276	Brugger	6/3/1997	4/18/1995
		5,734,719	Tsevdos et al.	3/31/1998	12/10/1996
		5,809,144	Sirbu et al.	9/15/1998	8/24/1995
		5,809,246	Goldman	9/15/1998	3/17/1997
		5,860,068	Cook	1/12/1999	12/4/1997
		5,931,901	Wolfe et al.	8/3/1999	3/21/1997
		5,963,916	Kaplan	10/5/1999	10/31/1996
		5,969,283	Looney et al.	10/19/1999	6/17/1998
J		6,067,562	Goldman	5/23/2000	9/14/1998


FOREIGN PATENT DOCUMENTS						
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OTHER DOCUMENTS		
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J	COX, MEG; Electronic Campus, Technology Threatens To Shatter the World of College	
	Textbooks; The Wall Street Journal; Tuesday, June 1, 1993, 2 pgs. ✓	
J	DVORAK, RADKA, ET AL; A Methodology for User Centred Link Structures for Textbook to ✓	
	Hypertext Conversion; IEEE 1992, pgs. 619-628.	

Examiner Signature	D. D. M	Date Considered	3/17/02
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	09/867,505
				Filing Date	5/29/01
				First Named Inventor	Donald R. Katz et al.
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	2	of	5	Attorney Docket Number	2541P001CD2

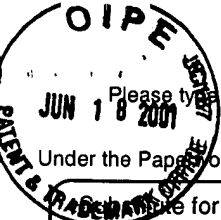
U.S. PATENT DOCUMENTS					
Examiner Initials *		U.S.Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
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OTHER DOCUMENTS			
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		FISHER, DANIEL; Technology and You; This Little Computer Tries To Be A Book; St. Louis ✓	
		Post-Dispatch; Wednesday, January 4, 1995; 2 pgs.	
		POBIAK, BERNARD CONAN; Adjustable Access Electronic Books; IEEE 1992; pgs. 90-94.	

Examiner Signature	D. DIMM	Date Considered	3/18/02
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	09/867,505
Filing Date	5/29/01
First Named Inventor	Donald R. Katz et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2541P001CD2

Sheet 3 of 5

U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			

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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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OTHER DOCUMENTS

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J	RAMOS, TONY; Can You Digit?; Making Book On Electronic Books; Collegestore Journal;	
	September/October 1992; 2 pgs.	
o	STEINERT-THRELKELD, TOM; Now, Data By Satellite; Inter@ctive Week; 1 pg.	

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D. DIMM

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<div> <div> Substitute for form 1449A/PTO (modified by BSTZ 6/30/99) </div> <div> INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> </div> </div>				Complete if Known	
				Application Number	09/867,505
				Filing Date	5/29/01
				First Named Inventor	Donald R. Katz et al.
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	4	of	5	Attorney Docket Number	2541P001CD2

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FOREIGN PATENT DOCUMENTS							
Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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OTHER DOCUMENTS		
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✓	WATANABE, TOYOHIDE; ET AL; Visual Interface for Retrieval of Electronic-formed Books; ✓	
	IEEE 1993; pgs. 692-695.	
✓	ZIEGLER, BART; IBM to Unveil Plan to Skip Disks, Send Software by Satellite; The Wall Street ✓	
	Journal; Tuesday, November 1, 1994, 1 pg.	

Examiner Signature	<i>D. DIMA</i>	Date Considered	<i>3/18/03</i>
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	09/867,505
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Filing Date	5/29/01
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First Named Inventor	Donald R. Katz et al.
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Group Art Unit	Unassigned
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Examiner Name	Unassigned
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Attorney Docket Number 2541P001CD2

Sheet 5 of 5


U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	The Heller Report; Vol. IV; No. 12, October 1993; 3 pgs.	

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Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patente or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
		5,442,789		Baker et al.	8/15/95	
		6,005,938		Banker et al.	12/21/99	
		5,636,276		Brugger	6/3/97	
		5,226,080		Cole et al.	7/6/93	
		6,044,471		Colvin	3/28/00	
		5,860,068		Cook	1/12/99	
		6,088,450		Davis et al.	7/11/00	
		5,809,246		Goldman	9/15/98	
		6,067,562		Goldman	5/23/00	
		5,221,838		Gutman et al.	6/22/93	
		5,333,116		Hawkins et al.	7/26/94	
		5,963,916		Kaplan	10/5/99	
		5,926,624		Katz et al.	7/20/99	
		5,969,283		Looney et al.	10/19/99	
		5,319,582		Ma	6/7/94	
		5,208,665		McCalley et al.	5/4/93	
		6,141,693		Perlman et al.	10/31/00	
		5,769,269		Peters	6/23/98	
		5,809,144		Sirbu et al.	9/15/98	
		5,734,719		Tsevdos et al.	3/31/98	
		5,931,901		Wolfe et al.	8/3/99	
		5,203,001		Yanaguichi et al.	4/13/93	
		6,002,720		Yurt et al.	12/14/99	

Examiner
Signature

D, D, N, N

Date Considered

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
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S	Design Patent	No change



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S	Design Patent	No change

Complete if Known

Application Number	09/867,505
Filing Date	May 29, 2001
First Named Inventor:	Donald R. Katz
Group Art Unit	2152
Examiner Name	Dinh, D.
Attorney Docket Number	002541.P001CD2

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P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/867,505
		Filing Date	5/29/01
		First Named Inventor:	Katz
		Group Art Unit	2152
		Examiner Name	Not yet assigned
		Attorney Docket Number	02541.P001CD2

Substantiate for Form 1449A/PTO (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) FEB 09 2004 PATENT OFFICE		Complete if Known	
Sheet	1	of	3
		Application Number	09/867,505
		Filing Date	5/29/01
		First Named Inventor:	Katz
		Group Art Unit	2152
		Examiner Name	Not yet assigned
		Attorney Docket Number	02541.P001CD2

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		First Named Inventor:	Katz
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		Examiner Name	Not yet assigned
		Attorney Docket Number	02541.P001CD2

Substantiate for Form 1449A/PTO (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) 				Complete if Known		
Sheet		1	of	3	Application Number	09/867,505
					Filing Date	5/29/01
					First Named Inventor:	Katz
					Group Art Unit	2152
					Examiner Name	Not yet assigned
					Attorney Docket Number	02541.P001CD2

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Examiner Signature	D. Dinn	Date Considered 3/18/04	
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Examiner Signature	D. Dinn	Date Considered 3/18/04	
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Examiner Signature	D. Dinn	Date Considered 3/18/04	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE
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FEB 09 2004 (use as many sheets as necessary)

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PATENT OFFICE
SHEET 1

of

3

Application Number	09/867,505
Filing Date	5/29/01
First Named Inventor:	Katz
Group Art Unit	2152
Examiner Name	Not yet assigned
Attorney Docket Number	02541.P001CD2

OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner
Initials*

Cite
No¹

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

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Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/867,505
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Group Art Unit	2152
Examiner Name	Not yet assigned
Attorney Docket Number	02541.P001CD2

Sheet 2 of 3

MAR 29 2004

OTHER ART - NO PATENT LITERATURE DOCUMENTS

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Initials*
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Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

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Electronic Information Disclosure Statement

#11

Apparatus and Method for Authoring and Maintaining a Library of Content and Targeting Content to a Playback Device

Application: 
09/867505

Confirmation: 5909

Applicant(s): Donald Katz

Docket
Number: 2541P001CD2

Group Art
Unit: 2153

Examiner: Dung C. Dinh

search string: (5,892,900 or 5,910,987 or 5,915,019 or 5,917,912 or 5,920,861 or 5,940,504 or 5,943,422 or 5,949,876 or 5,982,891 or 6,112,181 or 6,138,119 or 6,157,721 or 6,185,683 or 6,237,786 or 6,240,185 or 6,253,193 or 6,292,569 or 6,363,488 or 6,389,402 or 6,427,140 or 6,449,367).pn.



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Technology Center 2100

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P01	5,892,900	1999-04-06		Ginter et al.		
	P02	5,910,987	1999-06-08		Ginter et al.		

<input checked="" type="checkbox"/>	P03	5,915,019	1999-06-22		Ginter et al.
<input checked="" type="checkbox"/>	P04	5,917,912	1999-06-29		Ginter et al.
<input checked="" type="checkbox"/>	P05	5,920,861	1999-07-06		Hall et al.
<input checked="" type="checkbox"/>	P06	5,940,504	1999-08-17		Griswold
<input checked="" type="checkbox"/>	P07	5,943,422	1999-08-24		Van Wie et al.
<input checked="" type="checkbox"/>	P08	5,949,876	1999-09-07		Ginter et al.
<input checked="" type="checkbox"/>	P09	5,982,891	1999-11-09		Ginter et al.
<input checked="" type="checkbox"/>	P10	6,112,181	2000-08-29		Shear et al.
<input checked="" type="checkbox"/>	P11	6,138,119	2000-10-24		Hall et al.
<input checked="" type="checkbox"/>	P12	6,157,721	2000-12-05		Shear et al.
<input checked="" type="checkbox"/>	P13	6,185,683	2001-02-06		Ginter et al.
<input checked="" type="checkbox"/>	P14	6,237,786	2001-05-29		Ginter et al.
<input checked="" type="checkbox"/>	P15	6,240,185	2001-05-29		Van Wie et al.
<input checked="" type="checkbox"/>	P16	6,253,193	2001-06-26		Ginter et al.
<input checked="" type="checkbox"/>	P17	6,292,569	2001-09-18		Shear et al.
<input checked="" type="checkbox"/>	P18	6,363,488	2002-03-26		Ginter et al.
<input checked="" type="checkbox"/>	P19	6,389,402	2002-05-14		Ginter et al.
<input checked="" type="checkbox"/>	P20	6,427,140	2002-07-30		Ginter et al.
<input checked="" type="checkbox"/>	P21	6,449,367	2002-09-10		Van Wie et al.

Remarks

(Remarks are not for responding to an office action.)

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof returned to Applicants' attorney of record.

Signature

Examiner Name	Date
D. D. M. A.	3/18/02

Electronic Information Disclosure Statement

Apparatus and Method for Authoring and Maintaining a Library of Content and Targeting Content to a Playback Device

Application: ***09/867505***

09/867505

Confirmation: 5909

Applicant(s): Donald Katz

Docket
Number: 2541P001CD2

Group Art
Unit: 2153

Examiner: Dung C. Dinh

search string: 5,892,900 or 5,910,987 or 5,915,019 or 5,917,912 or 5,920,861 or 5,940,504 or 5,943,422 or 5,949,876 or 5,982,891 or 6,112,181 or 6,138,119 or 6,157,721 or 6,185,883 or 6,237,786 or 6,240,185 or 6,253,193 or 6,292,569 or 6,363,488 or 6,389,402 or 6,427,140 or 6,449,387).pr.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
<input checked="" type="checkbox"/>	P01	5,892,900	1999-04-06	*5,892,900*	Ginter et al.		
<input checked="" type="checkbox"/>	P02	5,910,987	1999-06-08	*5,910,987*	Ginter et al.		
<input checked="" type="checkbox"/>	P03	5,915,019	1999-06-22	*5,915,019*	Ginter et al.		
<input checked="" type="checkbox"/>	P04	5,917,912	1999-06-29	*5,917,912*	Ginter et al.		
<input checked="" type="checkbox"/>	P05	5,920,861	1999-07-06	*5,920,861*	Hall et al.		
<input checked="" type="checkbox"/>	P06	5,940,504	1999-08-17	*5,940,504*	Griswold		
<input checked="" type="checkbox"/>	P07	5,943,422	1999-08-24	*5,943,422*	Van Wie et al.		
<input checked="" type="checkbox"/>	P08	5,949,876	1999-09-07	*5,949,876*	Ginter et al.		
<input checked="" type="checkbox"/>	P09	5,982,891	1999-11-09	*5,982,891*	Ginter et al.		
<input checked="" type="checkbox"/>							

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Signature

Examiner Name	Date
D. DIAZ	3/18/04